

# **Recommendation on Categorical Programs**

## **Board Budget Presentation**

**April 9, 2013**

# Categorical Programs - Background

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- Categorical programs are a major component of district and site services.
- Federal and State categorical programs were created by the legislators to serve the special needs of students that are not covered by the general fund regular education programs.
- Each categorical program comes with its own program intent, rules, regulations, and exemplary practices.
- All categorical funds that schools receive and are allocated locally will additionally account for budgeting through the Single Plan for Student Achievement.

# Categorical Programs - Background

## No Child Left Behind

- On January 8, 2002, President Bush signed into law the No Child left Behind Act (NCLB)
- Accountability for Results
- Unprecedented State & Local Flexibility & Reduced Red Tape
- Focusing Resources on Proven Educational Methods
- Expanded Choices for Parents

# No Child Left Behind – Title I

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- Largest Federal Program in California aside from Special Education.
- AUSD qualifies for Title I based on the number of students who qualify for free or reduced meals.
- Students who receive services are those who show an academic need.
- Funds must be used in a variety of ways as long as they provide supplemental support.
- Title I funds at AUSD pay for:
  - Complete or partial salary of 40 different positions adding up to 16 FTE, including.
    - 1 FTE, Vice Principal at Ruby Bridges Elementary.
    - 10 FTE, Teaching Staff at Wood Middle, Ruby Bridges, Paden, Haight, & Maya Lin.
    - 4 FTE, Para Professional.
    - Counselor, Student Support Provider, Health Clerk, and Office Assistants at Title I Schools.
  - Various services including support for homeless children, tutoring through private companies and parent outreach.
- AUSD receives a total of \$1.47 million in Title I allocation.

# No Child Left Behind – Title I

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- Adequate Yearly Progress (AYP) toward the goal of 100% students meeting State Standards by 2013-14 school year.
- Program Improvement (PI) is formal designation for Title I funded schools that fail to make AYP for two consecutive years.
- 229 Schools, out of 382 in Alameda County, receive Title I funding.
- 162 Schools, out of 229 Title I Schools in Alameda County, are in Program Improvement.
- AUSD has Five Title I Schools:
  - Wood Middle Program Improvement Year 3
  - Ruby Bridges Program Improvement Year 2
  - Paden Safe Harbor (Last year in Program Improvement Year 1)
  - Haight & Maya Lin Not in Program Improvement

# No Child Left Behind – Title 1

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- The Board of Education may decide to withdraw from Title I and return \$1.47 million in Title I funding.
- The Board of Education has the discretion to apply for re-entry into Title I program.
- Re-entry into Title I will be at the same level where the exit was. Improvements made during the non-Title I years will not be counted.
- Education technology funds were linked to Title I. If Education technology program comes back, it may still be linked to Title I.
- Title II (Teacher Quality) funding is linked to Title I counts. As per CDE, Title II funding will continue even if AUSD decides to withdraw from Title I program.
- CDE or the US Department of Education has no written documentation on withdrawing from Title I program and consequences of any such withdrawal.

# No Child Left Behind – Title 1

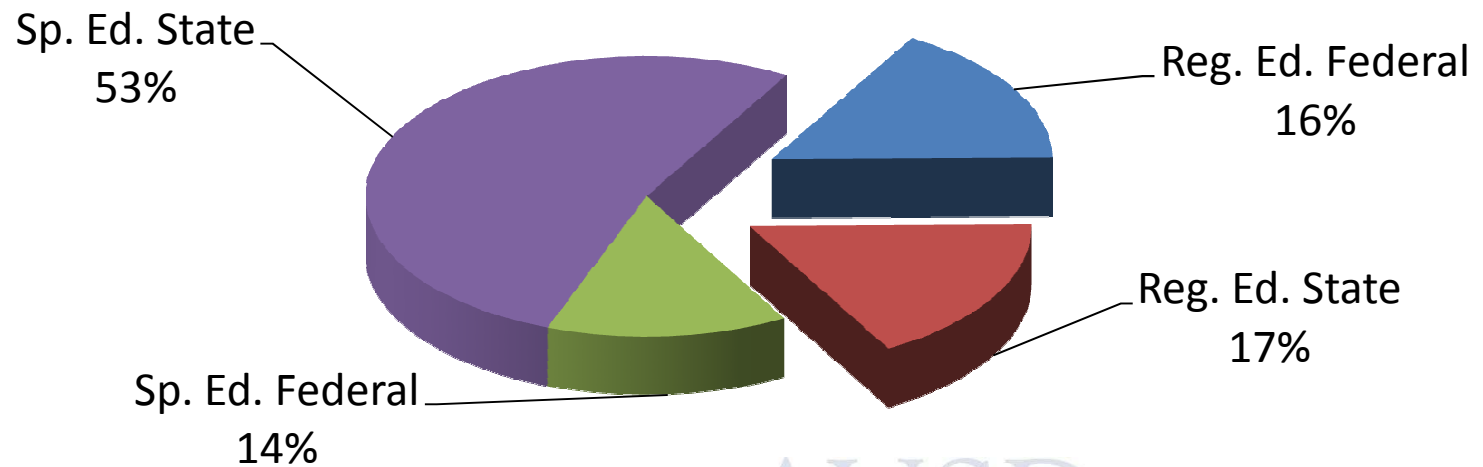
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- AUSD receives \$1.47 million a year in Title I funds.
- **Scenario 1:** Refuse Title I funds and stop providing supplemental services of \$1.47 million used for 16 FTE.
- **Scenario 2:** Refuse Title I funds but continue to provide supplemental services. \$1.47 million cut will have to be made to Regular Education to carve out funds to provide supplemental services. This would entail allocation of fewer funds/personnel/services to other AUSD schools.
- **Scenario 3:** Accept Title I funds and continue to provide supplemental services to Title I Schools.

# Categorical Revenue

| Source                | Amount               |
|-----------------------|----------------------|
| Regular Ed. (Federal) | \$ 2,454,650         |
| Regular Ed. (State)   | \$ 2,533,111         |
| Special Ed. (Federal) | \$ 2,064,588         |
| Special Ed. (State)   | \$ 7,833,052         |
|                       | <b>\$ 14,885,401</b> |

## Revenue Breakdown





# Categorical Programs

## Federal – Regular Education

| Program                     | Award               | Indirect Cost     | School Site Discretionary | School Based Initiatives* | Ed. Services      |
|-----------------------------|---------------------|-------------------|---------------------------|---------------------------|-------------------|
| Title I, Part A             | \$1,476,034         | \$ 103,362        | \$ 810,678                | \$ 487,058                | \$ 74,936         |
| Title II, Teacher Qlty      | \$314,653           | \$ 22,034         |                           | \$ 81,164                 | \$ 211,455        |
| Titlke III, Ltd. Eng. Prof. | \$221,315           | \$ 4,340          | \$ 142,329                | \$ 23,133                 | \$ 51,513         |
| Title IV, After School      | \$310,000           |                   |                           | \$ 284,690                | \$ 25,310         |
| Title X, McKin. Vento       | \$67,773            | \$ 4,745          |                           |                           | \$ 63,028         |
| Carl Perkins                | \$64,875            | \$ 4,543          |                           | \$ 60,332                 |                   |
| <b>Total</b>                | <b>\$ 2,454,650</b> | <b>\$ 139,024</b> | <b>\$ 953,007</b>         | <b>\$ 936,377</b>         | <b>\$ 426,242</b> |

\*Includes Set-Asides for Schools

# Categorical Programs

## State – Regular Education

| Program               | Award               | Indirect Cost    | School Site Discretionary | School Based Initiatives* | Ed. Services      |
|-----------------------|---------------------|------------------|---------------------------|---------------------------|-------------------|
| After School Learning | \$729,537           |                  |                           | \$ 653,607                | \$ 75,930         |
| Restricted Lottery    | \$306,376           |                  |                           | \$ 306,376                |                   |
| Economic Impact Aid   | \$1,374,766         | \$ 40,042        | \$ 1,078,607              | \$ 157,648                | \$ 98,469         |
| <b>Total</b>          | <b>\$ 2,410,679</b> | <b>\$ 40,042</b> | <b>\$ 1,078,607</b>       | <b>\$1,117,631</b>        | <b>\$ 174,399</b> |

\*Includes Set-Asides for Schools

# Categorical Programs

## School Site Discretionary Allocations – Elementary

| School       | Title I           | Title III        | EIA               | Total               |
|--------------|-------------------|------------------|-------------------|---------------------|
| Ruby Bridges | \$ 259,800        | \$ 15,237        | \$ 104,646        | \$ 379,683          |
| Haight       | \$ 157,800        | \$ 12,175        | \$ 83,619         | \$ 253,594          |
| Maya Lin     | \$ 110,400        | \$ 8,615         | \$ 59,169         | \$ 178,184          |
| Paden        | \$ 102,000        | \$ 7,618         | \$ 52,323         | \$ 161,941          |
| Lum          |                   | \$ 11,890        | \$ 81,663         | \$ 93,553           |
| Earhart      |                   | \$ 8,473         | \$ 58,191         | \$ 66,664           |
| Otis         |                   | \$ 7,476         | \$ 51,345         | \$ 58,821           |
| Bay Farm     |                   | \$ 6,764         | \$ 46,455         | \$ 53,219           |
| Edison       |                   | \$ 3,560         | \$ 24,450         | \$ 28,010           |
| Franklin     |                   | \$ 2,990         | \$ 20,538         | \$ 23,528           |
| <b>Total</b> | <b>\$ 630,000</b> | <b>\$ 84,798</b> | <b>\$ 582,399</b> | <b>\$ 1,297,197</b> |

# Categorical Programs

## Total School Site Allocations – Elementary

| School       | Site Discretionary  | Set-Asides        | Total               | No. of Students | Allocation per Student |
|--------------|---------------------|-------------------|---------------------|-----------------|------------------------|
| Ruby Bridges | \$ 379,683          | \$ 309,346        | \$ 689,029          | 616             | \$ 1,119               |
| Maya Lin     | \$ 178,184          | \$ 50,000         | \$ 228,184          | 300             | \$ 761                 |
| Haight       | \$ 253,594          |                   | \$ 253,594          | 413             | \$ 614                 |
| Paden        | \$ 161,941          | \$ 13,000         | \$ 174,941          | 331             | \$ 529                 |
| Lum          | \$ 93,553           |                   | \$ 93,553           | 499             | \$ 187                 |
| Earhart      | \$ 66,664           |                   | \$ 66,664           | 591             | \$ 113                 |
| Otis         | \$ 58,821           |                   | \$ 58,821           | 537             | \$ 110                 |
| Bay Farm     | \$ 53,219           |                   | \$ 53,219           | 521             | \$ 102                 |
| Franklin     | \$ 23,528           |                   | \$ 23,528           | 314             | \$ 75                  |
| Edison       | \$ 28,010           |                   | \$ 28,010           | 478             | \$ 59                  |
| <b>Total</b> | <b>\$ 1,297,197</b> | <b>\$ 372,346</b> | <b>\$ 1,669,543</b> | <b>4,600</b>    | <b>\$ 363</b>          |

# Allocations by School

Unrestricted & Categorical – Elementary

| School       | Unrestricted<br>General Fund* | Categorical         | Total               | No. of<br>Students | Allocation<br>per Student |
|--------------|-------------------------------|---------------------|---------------------|--------------------|---------------------------|
| Ruby Bridges | \$ 56,795                     | \$ 689,029          | \$ 745,824          | 616                | \$ 1,211                  |
| Maya Lin     | \$ 27,660                     | \$ 228,184          | \$ 255,844          | 300                | \$ 853                    |
| Haight       | \$ 38,078                     | \$ 253,594          | \$ 291,672          | 413                | \$ 706                    |
| Paden        | \$ 30,518                     | \$ 174,941          | \$ 205,459          | 331                | \$ 621                    |
| Lum          | \$ 46,007                     | \$ 93,553           | \$ 139,560          | 499                | \$ 280                    |
| Earhart      | \$ 54,490                     | \$ 66,664           | \$ 121,154          | 591                | \$ 205                    |
| Otis         | \$ 49,511                     | \$ 58,821           | \$ 108,332          | 537                | \$ 202                    |
| Bay Farm     | \$ 48,036                     | \$ 53,219           | \$ 101,255          | 521                | \$ 194                    |
| Franklin     | \$ 28,950                     | \$ 23,528           | \$ 52,478           | 314                | \$ 167                    |
| Edison       | \$ 44,071                     | \$ 28,010           | \$ 72,081           | 478                | \$ 151                    |
| <b>Total</b> | <b>\$ 424,116</b>             | <b>\$ 1,669,543</b> | <b>\$ 2,093,659</b> | <b>4,600</b>       | <b>\$ 455</b>             |

\*Tier III budget presentation on March 19<sup>th</sup>, 2013

# Categorical Programs

## School Site Discretionary Allocations – Secondary

| School           | Title I           | Title III        | EIA               | Total             |
|------------------|-------------------|------------------|-------------------|-------------------|
| Wood             | \$ 88,779         | \$ 9,327         | \$ 61,559         | \$ 159,665        |
| Encinal Jr. Jets | \$ 41,899         | \$ 4,414         | \$ 29,135         | \$ 75,448         |
| Lincoln          |                   | \$ 7,334         | \$ 48,402         | \$ 55,736         |
|                  |                   |                  |                   |                   |
| Encinal          |                   | \$ 18,085        | \$ 148,454        | \$ 166,539        |
| Alameda          |                   | \$ 15,878        | \$ 67,123         | \$ 83,001         |
| Island           |                   | \$ 1,994         | \$ 40,428         | \$ 42,422         |
| ASTI             |                   | \$ 498           | \$ 2,107          | \$ 2,605          |
| <b>Total</b>     | <b>\$ 130,678</b> | <b>\$ 57,530</b> | <b>\$ 397,208</b> | <b>\$ 585,416</b> |

# Categorical Programs

Total School Site Allocations – Secondary

| School           | Site Discretionary* | Set-Asides        | Total             | No. of Students | Allocation per Student |
|------------------|---------------------|-------------------|-------------------|-----------------|------------------------|
| Wood             | \$ 159,665          | \$ 170,871        | \$ 330,536        | 451             | \$ 733                 |
| Encinal Jr. Jets | \$ 75,448           |                   | \$ 75,448         | 212             | \$ 356                 |
| Lincoln          | \$ 55,736           |                   | \$ 55,736         | 935             | \$ 60                  |
| Island**         | \$ 42,422           | \$ 99,000         | \$ 141,422        | 181             | \$ 781                 |
| Encinal          | \$ 166,539          |                   | \$ 166,539        | 1,046           | \$ 159                 |
| Alameda          | \$ 83,001           |                   | \$ 83,001         | 1,773           | \$ 47                  |
| ASTI             | \$ 2,605            |                   | \$ 2,605          | 163             | \$ 16                  |
| <b>Total</b>     | <b>\$ 585,416</b>   | <b>\$ 269,871</b> | <b>\$ 855,287</b> | <b>4,761</b>    | <b>\$ 180</b>          |

\*Tier III budget presentation on March 19<sup>th</sup>, 2013

\*\* Includes \$99,000 for Teen Parenting Program

# Allocations by School

Unrestricted & Categorical – Secondary

| School           | Unrestricted<br>General Fund* | Categorical       | Total               | No. of<br>Students | Allocation<br>per Student |
|------------------|-------------------------------|-------------------|---------------------|--------------------|---------------------------|
| Wood             | \$ 68,476                     | \$ 330,536        | \$ 399,012          | 451                | \$ 885                    |
| Encinal Jr. Jets | \$ 45,437                     | \$ 75,448         | \$ 120,885          | 212                | \$ 570                    |
| Lincoln          | \$ 115,134                    | \$ 55,736         | \$ 170,870          | 935                | \$ 183                    |
|                  |                               |                   |                     |                    |                           |
| Island**         | \$ 32,351                     | \$ 141,422        | \$ 173,773          | 181                | \$ 960                    |
| Encinal          | \$ 133,765                    | \$ 166,539        | \$ 300,304          | 1,046              | \$ 287                    |
| Alameda          | \$ 204,082                    | \$ 83,001         | \$ 287,083          | 1,773              | \$ 162                    |
| ASTI             | \$ 16,887                     | \$ 2,605          | \$ 19,492           | 163                | \$ 120                    |
| <b>Total</b>     | <b>\$ 616,132</b>             | <b>\$ 855,287</b> | <b>\$ 1,471,419</b> | <b>4,761</b>       | <b>\$ 309</b>             |

\*Tier III budget presentation on March 19<sup>th</sup>, 2013

\*\* Includes \$99,000 for Teen Parenting Program

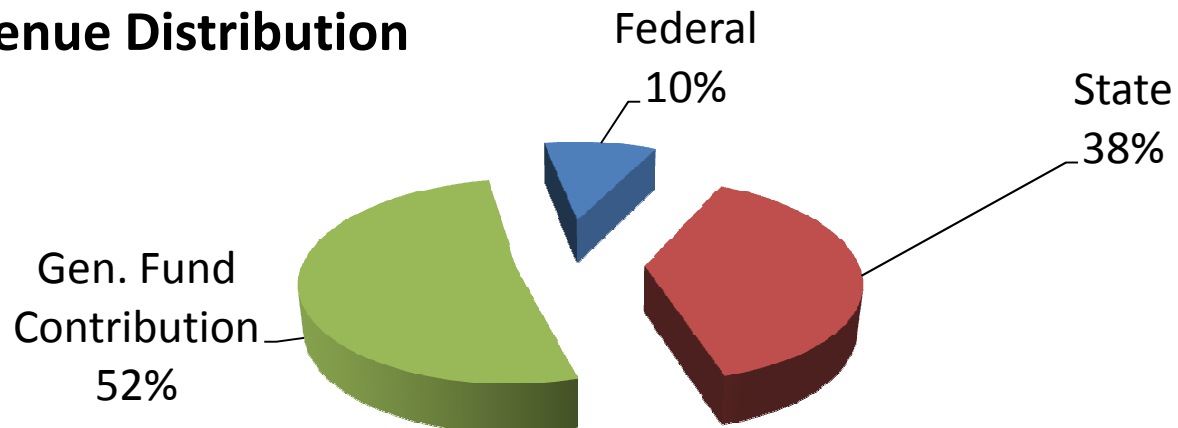


# Categorical Revenue

## Special Education

| Program  | Amount               |
|--|----------------------|
| Federal Revenue  | \$ 2,064,588         |
| State Revenue  | \$ 7,833,052         |
| Additional funding in support of Special Education, from Unrestricted General Fund | \$ 10,604,569        |
| <b>Total</b>   | <b>\$ 20,502,209</b> |

### Revenue Distribution



# 2013-14 Budget Development

## Next Steps

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- May revision to 13-14 budget
- Update on Governor's May Revision
- Recommendations on allocations of Measure A funds for 13-14
- Recommendations on budgeting by site, by program
- Tier III flexibility, public hearing
- Present final budget for the Board of Education to approve